

MAY 28 2002

Attorney Docket: CUH-003.00

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner of Patents,
Washington, D.C. 20231.

Date of Deposit and signature: May 10, 2002

Signature:

Typed/printed name: Chiahua George Yu

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re application of inventor(s): Leo W.M. LAU et al.

Serial No.: 09/981,643

Filed: October 16, 2001

Title: DECORATIVE HARD COATING AND METHOD FOR MANUFACTURE

Assistant Commissioner for Patents
Washington, D.C. 20231

COPY OF PAPERS
ORIGINALLY FILED

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is:

- ☐ * being submitted under 37 CFR §1.97(b)(1) (within three months of the filing date of a national application other than a continued prosecution application under 37 CFR §1.53(d) or 37 CFR §1.97(b)(2) (within three months of the date of entry of the national stage as set forth in 37 CFR §1.491 in an international application).
- ☒ * believed to be being submitted under 37 CFR §1.97(b)(3) (before the mailing of a first Office action on the merits) or 37 CFR §1.97(b)(4) (before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR §1.114).
- ☐ being submitted under 37 CFR 1.97(c) (after the period specified in 37 CFR §1.97(b), before the mailing date of any of a final action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ * the statement specified in 37 CFR §1.97(e), or
- ☐ the fee under 37 CFR 1.17(p)).
- ☐ being submitted under 37 CFR 1.97(d) (after the period specified in paragraph 37 CFR §1.97(c), on or before payment of the issue fee and is accompanied by (1) The statement specified in 37 CFR §1.97(e), and (2) the fee set forth in §1.17(p)).

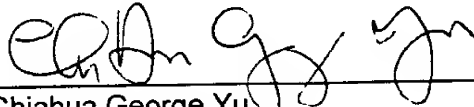
Applicant(s) submit herewith form(s) PTO/SB/08A and/or 08B together with copies of patents, publications or other information listed thereupon, which are requested to be made of record in this application. This information disclosure statement shall not be construed as a representation that a search has been made and shall not be construed to be an admission that the information cited is, or is considered to be, material to patentability.

*[X] No fee is believed due, but

[X] The Commissioner is hereby authorized to charge any fees required or credit any overpayments to deposit account number 50-1686.

Respectfully submitted,

May 10, 2002
Date


Chiahua George Yu
Attorney for Applicant(s)
Reg. No. 43,301
Tel.: (408) 739-4518; Fax: (408) 739-2300